



Central Depository Services (India) Limited

Convenient Dependable Secure

COMMUNIQUE TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/955

September 14, 2007

CDSL SMART

SMS Alerts Related to Transactions

CDSL is pleased to announce the launching of CDSL SMART (**SMS Alerts Related to Transactions**) services w.e.f. from October 01, 2007. CDSL SMART is an SMS service being made available to all BOs who have accounts with CDSL.

The SMART messages would be sent to BOs who have subscribed for the facility. The SMART messages would initially be sent for all types of debits, subject to a maximum of four ISINs, and for credits into the account due to IPO and corporate actions. CDSL reserves the right to change the frequency of intimation and the triggers that generate SMS alerts. The SMART alert service is presently not available to Clearing Member accounts, Client Beneficiary accounts or Client Margin Accounts. Terms & Conditions-cum-Registration / Modification Form is attached herewith (see **Annexure-A**). Detailed User Manual to enter the registration details in CDAS is attached herewith (see **Annexure-C**). Terms & Conditions-cum-Registration / Modification Form should be uploaded on the DP's website so that BOs can download the same. The Form will also be available on CDSL's website www.cdslindia.com.

At present the SMART facility will be provided free of cost. However, CDSL reserves the right to charge such fees, from time to time, as it deems fit for providing this service to the BO.

Procedure for Registration

- The BOs desirous of availing the service will have to approach their DP. The DP will hand over a Terms & Conditions-cum-Registration / Modification Form for availing the service. BO can also download the Form from CDSL / DP website. BO will complete the Form and hand over the same to the DP.
- DP shall check the Form for completeness. DP shall verify the signatures of all the account holder(s) against the signature(s) present in the CDAS system.
- If the Form is properly filled, then DP shall enter the same on the CDAS system.
- After entering the details, CDAS system will generate an acknowledgement letter, which the DP shall duly sign and hand over to the BO.
- The SMART service will be activated for the BO as per details given in the terms and conditions mentioned in **Annexure-A**.



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Procedure for Cancellation

- If the BO intends to cancel the registration from the SMART facility, the Cancellation Form (see **Annexure-B**), will have to be filled up and given to the DP. The DP shall verify the BO's signature(s) against those present in the CDAS system.
- DP shall enter the cancellation request in the CDAS system.

Precautions

1. **If any of the BOs registered for the SMART facility informs the DP about any unauthorized debit to the BO account, the DP should immediately take appropriate steps to resolve the issue.**
2. DPs should ensure that no changes are incorporated in the Terms & Conditions-cum-Registration / Modification Form specified by CDSL.
3. The DPs may print the said Terms & Conditions-cum-Registration / Modification Form under their logo & letterhead.
4. The DP should ensure that all the account holders sign the SMART Terms & Conditions-cum-Registration / Modification Form.
5. If the DP desires to give the BO acknowledgement upon receipt of the SMART Registration Form, then it is advised to get the Terms & Conditions-cum-Registration / Modification Form filled in duplicate. The acknowledgement of having received the SMART Registration Form may be given to the BO on the duplicate copy.
6. If the agreement spills over into multiple pages, the DPs should ensure that all the account holder(s) sign at the bottom of each page.
7. The DP should ensure that the signature of the BO(s) affixed on the Registration / Modification / Cancellation Form match with the signature(s) recorded in the CDAS system and then enter the details in the CDAS system.
8. The DPs should ensure that any modification to the information given at the time of registration should be done only after receiving a duly filled Terms & Conditions-cum-Registration / Modification Form.
9. The DP should take precautions that cancellation has to be intimated by the BO by submitting a Cancellation Form. The DPs should ensure that unauthorized modifications / cancellations of the SMART facility are not carried out.



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10. DPs should ensure strict measures to protect the privacy of the information given by the BOs, for the use of SMART including precautions to avoid access to the information by unauthorized persons.

Queries regarding this communiqué may be addressed to **CDSL-Helpdesk** on telephone no. (022) 2272-3333 (extn. 8642, 8427, 8663, 8624, 8693, 8625, 8639), direct (022) 2272-1261, (022) 32462767, (022) 2272-2075 or email ID: helpdesk@cdslindia.com.

sd/-

Dominic Fernandes
Vice President – Operations

NOTE TO DPs
<p>Audited Financial Statements and Net Worth Certificates</p> <p>Last Date for Submission: September 30, 2007</p> <p>Please refer to communiqué nos:</p> <ul style="list-style-type: none">➤ CDSL/AL&C/DP/945 dated August 21, 2007➤ CDSL/OPS/DP/858 dated March 02, 2007